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Dated: 12-13-04

Signature: *Rhea Amid*

(Rhea Amid)

Rec'd PCT/PTO 16 DEC 2004  
PATENT  
Docket No. 300622004601

10/506630

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Rajesh S. GOKHALE et al.

Serial No.: 10/506,630

Filing Date (Int'l): March 4, 2003

For: METHODS TO MEDIATE  
POLYKETIDE SYNTHASE MODULE  
EFFECTIVENESS

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 10/091,244, filed March 4, 2002, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required. However, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - ☐ A fee is required. A check in the amount of \_\_ is enclosed.
  - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of \_\_ is enclosed.
  - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **300622004601**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: December 13, 2004

Respectfully submitted,

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	Applicant Rajesh S. GOKHALE et al.	
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Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	11/1985	4,551,433	DeBoer	435	253	
	2.	10/1989	4,874,748	Katz <i>et al.</i>	514	29	
	3.	06/1990	4,935,340	Baltz <i>et al.</i>			
	4.	11/1991	5,063,155	Cox <i>et al.</i>	435	76	
	5.	03/1992	5,098,837	Beckmann <i>et al.</i>	435	172.3	
	6.	09/1992	5,149,639	Katz <i>et al.</i>	435	76	
	7.	12/1992	5,168,052	Cox <i>et al.</i>	435	72	
	8.	10/1993	5,252,474	Gewain <i>et al.</i>	435	172.3	
	9.	12/1995	5,475,099	Knauf <i>et al.</i>			
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	22.	08/2001	6,271,255	Leadlay <i>et al.</i>	514	450	

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## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO	
	23.	07/1993	WO 93/13663	WIPO				
	24.	03/1995	WO 95/08548	WIPO				
	25.	12/1996	WO 96/40968	WIPO				
	26.	01/1997	WO 97/02358	WIPO				
	27.	04/1997	WO 97/14789	WIPO				
	28.	01/1998	WO 98/01546	WIPO				
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	30.	06/1998	WO 98/27203	WIPO				
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EXAMINER:

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Form PTO-1449  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	Docket Number 300622004601	Application Number 10/506,630
	Applicant Rajesh S. GOKHALE et al.	
	Filing Date (Int'l) March 4, 2003	Group Art Unit Not Yet Assigned
	Mailing Date December <u>13</u> , 2004	

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